

AP4 REC'D 15 NOV 2005 23 DEC 2005

Attorney Docket No. HANOL-10658

10/562379

U.S. Application No.

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| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |   | 10/562379   |
| U.S. Application No.   |   |   |
| International Application No.<br>PCT/KR2004/001558   | International Filing Date:<br>25 June 2004 (25.06.04) | Priority Date Claimed:<br>26 June 2003 (26.06.03) |
| Title Of Invention: Method Of Preparing Mixed Formulation Of Sustained Release Microspheres By Continuous One-Step Process   |   |   |
| APPLICANT(S) FOR DO/EO/US Hee Yong LEE, et al.   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |   |   |
| <b>Items 11 to 20 below concern document(s) or information included:</b>   |   |   |
| <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A preliminary amendment.</li> <li><input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information: WO 2004/112752.</li> <li><input checked="" type="checkbox"/> The following documents will follow shortly: PCT/ISA/210 (ISR); PCT/ISA/237 (Written Opinion); PCT/IB/301 (Not. of Receipt of Record Copy); PCT/IB/304 (Not. of Submission of Priority Document); PCT/IB/308; PCT/IB/311; PCT/ISA/202 (Not. of Receipt of Search Copy); Copy of PCT Request.</li> </ol>   |   |   |

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| U.S. Application No.<br><b>10/562379</b>   | International Application No.<br>PCT/KR2004/001558 | Attorney's Docket No.<br>HANOL-10658                                  |                     |          |
|  |  | <u>CALCULATIONS</u>   | <u>PTO USE ONLY</u> |          |
| 21. <input checked="" type="checkbox"/> Basic National Fee   |  | \$ 300.00   | \$300.00            |          |
| 22. <input checked="" type="checkbox"/> Examination Fee<br><input type="checkbox"/> If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)                                     |  | \$ 100.00   | \$200.00            |          |
| <input checked="" type="checkbox"/> All Other Situations   |  | \$ 200.00   |                     |          |
| 23. <input checked="" type="checkbox"/> Search Fee<br><input type="checkbox"/> Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority                                   |  | \$ 100.00   | \$400.00            |          |
| <input checked="" type="checkbox"/> International Search Report prepared and provided to the Office  |  | \$ 400.00   |                     |          |
| <input type="checkbox"/> All Other Situations  |  | \$ 500.00   |                     |          |
| <b>Total of 21, 22 and 23 =</b>  |  | <b>\$900.00</b>   |                     |          |
| Additional Fee For Specification and Drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. |  |   |                     |          |
| Total Sheets   | Extra Sheets                                       | Number of Additional or Fraction Thereof (round up to a whole number) | RATE                |          |
| 36 - 100   | 0/50 =   | 0 x 250   | \$0.00              |          |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |  |   |                     |          |
| CLAIMS   | NUMBER FILED                                       | NUMBER EXTRA  | RATE                |          |
| TOTAL CLAIMS   | 9 - 20 =   | 0   | x \$50.00           | \$0.00   |
| INDEPENDENT CLAIMS   | 1 - 3 =  | 0   | x \$200.00          | \$0.00   |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  | + \$360.00   | yes   | + \$360.00          | \$360.00 |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |  |   | <b>\$1,260.00</b>   |          |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2   |  |   |                     |          |
| <b>SUBTOTAL =</b>  |  |   | <b>\$630.00</b>     |          |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |  |   | \$0.00              |          |

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| <b>TOTAL NATIONAL FEE =</b>   |  | <b>\$630.00</b>                      |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).<br>\$40.00 per property +   | <b>\$0.00</b>                                      |                                      |
| <b>TOTAL FEES ENCLOSED =</b>  |  | <b>\$630.00</b>                      |
|   | <b>Amount To Be Refunded:</b>                      | \$                                   |
|   | <b>Charged:</b>                                    | \$                                   |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$630.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge any my Deposit Account No. <b>08-1290</b> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>08-1290</b>. A duplicate copy of this sheet is enclosed.</p> |  |                                      |
| <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b></p>   |  |                                      |
| <p>Send All Correspondence To:</p> <p><b>Tanya A. ARENSEN<br/>MEDLEN &amp; CARROLL, LLP<br/>101 Howard Street, Suite 350<br/>San Francisco, CA 94105<br/>Phone: 415-904-6500<br/>Fax: 415-904-6510</b></p> <p></p> <p><b>Tanya A. ARENSEN (Reg. No. 47,391)</b></p> <p><b>12/23/05</b></p>  |  |                                      |
| <b>Date</b>   |  |                                      |